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10269-13CON  
(HSX/001 CON1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Timothy M. Keiser, et al.  
Application No.: 09/465,607 Confirmation No.: 9080  
Filed : December 17, 1999  
For : COMPUTER-IMPLEMENTED SECURITIES  
TRADING SYSTEM WITH A VIRTUAL  
SPECIALIST FUNCTION  
Group Art Unit : 3628  
Examiner : Graham, Clement B.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary  
Amendment; ☐ a Reply to Office Action; ☐ a  
Supplemental Amendment; ☐ a substitute specification;  
☒ a Revocation of Power of Attorney and New Power of  
Attorney; ☐ a Declaration; ☐ a Supplemental  
Declaration; ☐ a Power of Attorney; ☐ an Associate  
Power of Attorney; ☐ formal drawings; to be filed in  
the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.  
☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ = \$ .00
INDEPENDENT CLAIMS	-	** =	X \$ = \$ .00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$290 = \$ 0.00

\*If less than 20, insert 20.

TOTAL \$ .00

\*\* If less than 3, insert 3.

[ ] A check in the amount of \$ \_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[ ] Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

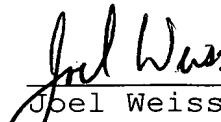
[ ] The following extension is applicable to the Response filed herewith; [ ] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a)(1); [ ] \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a)(2); [ ] \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a)(3); [ ] \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a)(4); [ ] \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a)(5).

[ ] A check in the amount of [ ] \$110.00; [ ] \$420.00; [ ] \$950.00; [ ] \$1,480.00; [ ] \$2,010.00 in payment of the extension fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge the [ ] \$110.00; [ ] \$420.00; [ ] \$950.00; [ ] \$1,480.00; [ ] \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

  
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I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
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Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450 on

July 20, 2004  
C. [Signature] van Goodman